

**Cases Closed Between**  
**10/01/2017 and 09/30/2018**

<u>Case # &amp; Title</u>	<u>Opened</u>	<u>Closed</u>	<u>Finding</u>	<u>Case Type</u>	<u>Disposition</u>	<u>Team</u>	<u>Case Agent</u>	<u>Days to Close</u>
C 12 007 Proactive Initiative: Companies in Violation of the SBA Certification	11/10/2011	03/28/2018	N/A	Proactive Initiative	(b)(7)(C),(b)(7)(E)			
C 13 036 Possible Fraudulent Invoices Submitted By Contractor Involving Enterprise Project Management (EPM) Contract	05/14/2013	09/14/2018	Unsubstantiated	Full Investigation				
C 16 005 Proactive Initiative - Computer Misuse and Computer Forensic Support	11/19/2015	05/30/2018	N/A	Proactive Initiative				
C 16 016 Concerns Regarding Release of Radioactive Material into the Groundwater at Indian Point Nuclear Generating Units	02/24/2016	09/14/2018	Unsubstantiated	Full Investigation				
C 16 027 Alleged Conflict of Interest and Ethics Procedures	04/14/2016	11/29/2017	Unsubstantiated	Full Investigation				
C 16 032 Release of Predecisional Information Pertaining to an NRC DPO	05/04/2016	12/21/2017	Administratively Closed	Full Investigation				
C 16 035 Alleged Conflict of Interest by an NMSS (b)(7)(C)	06/22/2016	09/13/2018	Partially Substantiated	Full Investigation				
C 16 040 Unauthorized Intrusion of NRC Procurement Database by Contractor Employees	08/12/2016	05/31/2018	Fully Substantiated	Full Investigation				
C 16 044 Conflict of Interest in the Award of Task Orders by NRC Project Manager	09/23/2016	09/21/2018	Unsubstantiated	Full Investigation				
C 17 001 Concerns Pertaining to NRC Staff Oversight of CGI Contract	10/11/2016	09/21/2018	Unsubstantiated	Full Investigation				
C 17 008 Alleged Region II Non-compliance with Management Directive 8.3 NRC Incident Investigation Program	11/16/2016	05/16/2018	Unsubstantiated	Full Investigation				
C 17 012 Issues Concerning HCGS PRNM Upgrade & LAR Process	01/18/2017	07/12/2018	Unsubstantiated	Full Investigation				
C 17 017 Alleged Violations of FAR Requirements by NRC Contract Staff	03/20/2017	08/20/2018	Unsubstantiated	Full Investigation				
C 17 020 Concerns Pertaining to Belligerent NRC Inspector	04/05/2017	03/16/2018	Unsubstantiated	Full Investigation				
C 17 022 Misuse of Government Computer by Regional NRC Employee	04/18/2017	12/22/2017	Fully Substantiated	Full Investigation				

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C 17 023 Harassment and Retaliation by Region IV Management For Engaging in DPO Process	04/27/2017	05/24/2018	Partially Substantiated	Full Investigation	(b)(7)(C),(b)(7)(E)			
C 17 028 NRC's Failure to Apply License Renewal Rules in a Consistent Manner	06/12/2017	09/14/2018	Partially Substantiated	Full Investigation				
C 17 029 Alleged Employment Discrimination by a Former NRC Contractor Employee After Reporting a Safety Violation to the NRC	06/23/2017	07/12/2018	Unsubstantiated	Full Investigation				
C 17 032 Impersonation of an NRC Employee	07/20/2017	03/18/2018	Partially Substantiated	Full Investigation				
C 17 035 Misrepresentation of the NRC	07/20/2017	09/04/2018	Fully Substantiated	Full Investigation				
C 17 040 Alleged Harassment of NMSS Employee	08/30/2017	02/08/2018	Unsubstantiated	Full Investigation				
C 17 043 Alleged False Statements Made to OIG by NRC Manager	09/22/2017	03/23/2018	N/A	Preliminary Investigation				
C 18 001 Loss of NRC Issued Equipment by OI Criminal Investigator	10/13/2017	05/03/2018	Fully Substantiated	Full Investigation				
C 18 005 Alleged Falsification of Time and Attendance Records	11/16/2017	03/30/2018	Unsubstantiated	Full Investigation				
C 18 006 Concerns Pertaining to Travel Funds Provided by NRC to Agreement States	11/20/2017	12/21/2017	Administratively Closed	Full Investigation				
C 18 008 Unauthorized Access to NRC System to Plagiarize Information from a Resume	11/29/2017	05/24/2018	Fully Substantiated	Full Investigation				
C 18 011 OI Agent Weapon Stolen	12/26/2017	03/28/2018	Fully Substantiated	Full Investigation				
C 18 012 Potential Leak of Sensitive Information by OIP Employee	12/27/2017	08/20/2018	Fully Substantiated	Full Investigation				
C 18 014 Cyber Security Milestone 8 at Pilgrim Station	01/17/2018	08/14/2018	Unsubstantiated	Full Investigation				
C 18 016 Concerns Pertaining to NRC Inspection Report 2017-003 at Diablo Canyon	01/24/2018	08/16/2018	Unsubstantiated	Full Investigation				
C 18 019 OIG Staff Member Possessing Prohibited Stocks or Securities	04/13/2018	09/12/2018	Partially Substantiated	Full Investigation				

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C 18 020 Hostile Work Environment	04/24/2018	08/20/2018	Partially Substantiated	Full Investigation	(b)(7)(C),(b)(7)(E)			
C 18 022 Region I Employee Possessing Prohibited Stocks or Securities	04/24/2018	09/21/2018	Partially Substantiated	Full Investigation				
C 18 026 Alleged Harassment by NRC Manager	05/08/2018	09/04/2018	Administratively Closed	Full Investigation				

Number of Cases Closed

34

**Cases Closed Between  
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**Cases Closed by Case Type and Quarter**

	1st Q	2nd Q	3rd Q	4th Q	Total
<b>Full Investigation</b>	4	5	5	17	31
<b>Preliminary Investigation</b>		1			1
<b>Proactive Initiative</b>		1	1		2
<b>Total</b>	4	7	6	17	34

**Cases Closed by Disposition and Finding**

	N/A		Administratively Closed		Fully Substantiated		Partially Substantiated		Unsubstantiated		Total	
<b>Closed to File</b>	3	100%	3	100%	3	43%	6	86%	14	100%	29	85%
<b>Referred to NRC Management</b>					4	57%	1	14%			5	15%
<b>Total</b>	3	100%	3	100%	7	100%	7	100%	14	100%	34	100%

**Cases Closed Between  
10/01/2017 and 09/30/2018**

**Number of Cases Closed and Average Days to Close by Team, Case Agent and FY Quarter**

(b)(7)(C),(b)(7)(E)